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Inventor: Paul J. Matthews

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PATENT APPLICATION/TECHNICAL DIGEST PUBLICATION RELEASE REQUEST

FROM: Associate Counsel (Patents) (1008.2)
TO: Associate Counsel (Patents) (1008.2)

Via: (1) Paul J. Matthews (Code 5651)
(2) Division Superintendent (Code 5600)
(3) Head, Classification Management & Control (Code 1221)

SUBJ: Patent Application/Technical Digest entitled: **"FIBER-OPTIC, WIDEBAND ARRAY ANTENNA BEAMFORMER"** Request for release for publication.

REF: (a) NRL Instruction 5510.40C
(b) Chapter 6, ONRINST 5870.1C

ENCL: (1) Copy of Patent Application/Technical Digest

1. In accordance with the provision of references (a) and (b), it is hereby requested that the subject Patent Application/Technical Digest be released for publication.

2. It is intended to offer this Patent Application/Technical Digest to the National Technical Information Service, for publication.

3. This request is in connection with Navy Case No. 82,341

6/15/66
(date)

BARRY A. EDELBERG
Associate Counsel (Patents)

FIRST ENDORSEMENT

Date: 6/20/66

FROM: Paul J. Matthews (Code 5651)
TO: Division Superintendent (Code 5600)

1. It is the opinion of the Inventor(s) that the subject Patent Application/Technical Digest ~~(is)~~ (is not) classified and there is no objection to public release.

Paul J. Matthews

Inventor's Signature

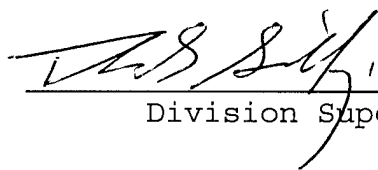
SECOND ENDORSEMENT

Date:

FROM: Division Superintendent (Code 5600)

TO: Classification Management & Control (Code 1221)

1. Release of Patent Application/Technical Digest (is) (~~is not~~) approved.
2. To the best knowledge of this Division, the subject matter of this Patent Application/Technical Digest (~~has~~) (has not) been classified.
3. This recommendation takes into account military security, sponsor requirements and other administration considerations and there in no objection to public release.



Division Superintendent

THIRD ENDORSEMENT

Date:

FROM: Head, Classification & Control (Code 1221)

TO: Associate Counsel (Patents) (1008.2)

1. This Patent Application/Technical Digest is authorized for public release.



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FIBER-OPTIC, WIDEBAND ARRAY ANTENNA BEAMFORMER

10 BACKGROUND OF THE INVENTION

Field of the Invention

Generally, this invention regards an fiber-optic, wideband array antenna beamformer and
15 more specifically a fiber-optic, wideband array antenna beamformer using cascaded, chirped fiber gratings in a distributed architecture.

Description of the Related Prior Art

A large variety of current military and commercial array antenna systems require wide
20 instantaneous bandwidths enabled through the use of a time-steered beamformer. Due to the lack of a feasible microwave alternative, much research has gone into the use of optical and photonic techniques for control of time-steered antennas. There have been numerous proposals and attempts to develop true time-delay capability optical beamformers. However, most of these techniques have not progressed beyond conceptual laboratory demonstrations, as they are
25 hampered by the demands for precisely matched optical elements, excessive power losses, instability, or specialized component development. One of the most successful techniques for time-steered optical beamforming is the dispersive prism technique developed by Frankel et al. at the Naval Research Laboratory. See, Frankel et al.; TRUE TIME-DELAY FIBER-OPTIC CONTROL OF AN ARRAY TRANSMITTER/RECEIVER WITH MULTIBEAM

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5 CAPABILITY; IEEE Trans. Microwave Theory Techn.; Vol. 43; No. 9; pp. 2387-2394; Sep. 1997. Although successful, this technique has some notable drawbacks directly stemming from the use of long lengths of high dispersion fiber. The long fiber lengths resulted in a system with environmental and temperature sensitivity and instability, a significant signal latency through the beamformer and a physically large system.

10 A number of beamforming architectures based on the substitution of fiber Bragg gratings for a high dispersion fiber have been implemented. There are the discrete fiber grating beamformer, a serially fed discrete fiber grating beam former, and a chirped fiber grating beamformer.

In the discrete fiber grating beamformer, as described by Zmuda et al., PHOTONIC
15 BEAMFORMER FOR PHASED ARRAY ANTENNAS USING FIBER GRATING PRISM, IEEE Photon. Technol. Techn. Lett., Vol 9, pp. 241-243, 1997, a tunable delay line consists of a series of discrete fiber Bragg gratings having different periods. Each grating is designed to reflect a particular optical wavelength. The gratings are spaced a prescribed distance apart such that the required time-delay may be chosen by selecting the wavelength corresponding to the
20 desired grating position. An antenna array may be fabricated by feeding each element with a custom delay line having a grating spacing proportional to the element position. The drawbacks of this scheme are that it requires many gratings, the beamsteering is discrete rather than continuous, the number of beam positions are very limited due to fiber grating limitations, and it requires accurate, precise spacing of the gratings in order to achieve time delays.

25 The serially fed discrete fiber grating beamformer is similar to the discrete fiber grating

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5 beamformer, but utilizes a single discrete grating delay line. See, Tsap et al., PHASED-ARRAY
OPTICALLY CONTROLLED RECEIVER USING A SERIAL FEED; IEEE Photonics Techn.
Lett.; PP. 267-269; Feb. 1998. The elements of the antenna array are controlled by serially
gating the optical signal. This technique still suffers from the same drawbacks as the discrete
fiber grating beamformer, and in addition, the types of microwave signals that can be handled is
10 severely restricted.

A chirped fiber grating beamformer is an attractive alternative to overcome the problems
associated with the discrete fiber grating beamformers set forth above. A continuously tunable
delay line can be realized with a single chirped grating because the grating period varies
continuously along the grating length. See, Cruz et al., CHIRPED FIBRE GRATINGS FOR
15 PHASED-ARRAY ANTENNAS, Electron. Lett., Vol. 33, p. 545, 1997. A chirped grating
beamformer in which every element is fed by a delay line having a chirped grating with a
different length and chirp was proposed. See, Soref, FIBER GRATING PRISM FOR TRUE
TIME DELAY BEAMSTEERING, Fiber and Integrated Optics, Vol. 15, pp. 325-333, 1996.
Implementation of this beamformer for any practical array antenna is difficult for a number of
20 reasons. First, because typical antennas require many nanoseconds of delay for proper steering,
chirped fiber gratings with lengths in excess of 50 centimeters are needed. Such gratings have
been demonstrated in a research environment but are not currently available. Also, this approach
requires that the gratings be proportionally and precisely matched in length and chirp. Although
this architecture has been proposed, it has not been demonstrated.

25 To circumvent these deficiencies, it was proposed and demonstrated to replace the long

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5 chirped fiber gratings in the system with identical, cascaded, chirped fiber gratings in a serial architecture where a single fiber grating might be common to numerous time-delay feeds in the system. See, Roman et al., TIME-STEERED ARRAY WITH A CHIRPED GRATING BEAMFORMER, Proc. 1997 Optical Fiber Comm. Conf., Dallas, TX, Feb. 16-21, Vol. 6, paper PD-28, pp. 479-482, 1997; Roman et al., entitled CHIRPED FIBER GRATING

10 BEAMFORMER FOR PHASED ARRAY ANTENNAS, serial no. 09/058,352, filed April 1, 1998. This design has some disadvantages which make it impractical in many applications. First, the design is not optically efficient in its current proposed form due to its serial architecture in which a portion of the signal from each feed is used to feed the next element in the array. Since array antennas nominally require a uniform amplitude in the feeds to the elements, all feeds

15 in the serial architecture must be normalized to the smallest amplitude. Thus, while this approach minimizes the number of fiber gratings and optical circulators, it wasted optical power when standard 50% couplers are used, resulting in a low optical power at the photodetector. Low optical powers result in very poor microwave system performance rendering this approach useless for most applications. This may be partially remedied using custom proportional taps

20 that are not commercially available. However, a straight forward analysis reveals that the tolerances required for such taps are not realizable, especially when they must be maintained over the wavelength tuning range. Second, the serial design is susceptible to single point failures. For instance, if the first fiber grating failed then all subsequent feeds would also fail.

5 SUMMARY OF THE INVENTION

The object of this invention is to improve fiber-optic, wideband array antenna beamforming architectures for use in wideband, steered array antennas.

Another object of this invention is to provide for signal remoting of array antenna signals over long distances.

10

These and other objectives are achieved by a fiber-optic, wideband array antenna beamformer using cascaded, chirped fiber gratings in a distributed architecture. The technique is based upon the use of cascaded, fiber-optic, chirped Bragg gratings in a distributed architecture. A wavelength tunable laser serves as a carrier for a microwave signal which is modulated upon it. The signal is corporately distributed to each feed of the array. Each feed then traverses a multi-port optical circulator and is reflected off a number of identical, chirped fiber gratings proportional to their position within the array. The signal is then demodulated and fed to the appropriate antenna element. All gratings are identical with the same length and dispersion (ps/nm). Time-steering is accomplished by tuning the laser wavelength such that the effective reflection point in an individual grating is changed due to the chirped nature of the grating.

20

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a four-element (feed) cascaded, fiber grating transmit beamformer architecture.

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Figure 2 shows a six-element (feed), cascaded, fiber grating receive beamformer with

5 distributed architecture.

Figure 3a shows the measured time-delay characteristics for each feed in the array.

Figure 3b shows the differences in measured time-delay characteristics of five gratings.

10 DESCRIPTION OF THE PREFERRED EMBODIMENT

In a fiber-optic, wideband array antenna beamformer using cascaded, chirped fiber gratings **10**, as shown in **Figure 1**, a wavelength tunable laser **12** serves as a carrier **13** for a microwave signal **16** which is modulated upon it in an optical modulator **14**, such as a Mach-Zehnder optical modulator or any other type well known to those skilled in the art. The optically modulated microwave signal **15** is corporately distributed to each feed **19** of the array **27** through a splitter **18**. Each feed **19** then traverses a multi-port optical circulator **22** and is reflected off a number of identical, chirped fiber gratings **24**, such as a modulated core-index or any other type well known to those skilled in the art, proportional to the position of the feed **19** within the array **27**. The optically modulated microwave signal **15** is then demodulated by an associated photodetector **26** and fed to an appropriate associated antenna element **28**. All gratings **24** are identical with the same length and dispersion (ps/nm).

Time-steering is accomplished by tuning the laser **12** wavelength such that the effective reflection point in an individual grating **24** is changed due to the chirped nature of the grating **24**. Given as linear chirp, F , which is inversely proportional to the dispersion, an individual grating

5 **24** will give a linear time-delay described by:

$$\Delta t = D_g(\lambda - \lambda_0) + NL/c$$

where D_g is the grating **24** dispersion (ps/nm), λ_0 is the center wavelength of the grating **24** reflection spectrum, N is the effective index of the guided mode, and L is the grating **24** length.

The transmitted component undergoes a constant time-delay NL/c . Since the grating **24**

10 dispersion is additive, two gratings **24** will give twice the time-delay, etc. This results in a linear time-delay gradient across the array antenna **28** output which is the condition required for beamsteering. It should be noted that either positive or negative dispersion gratings **24** may be employed in the system **10** which may reduce complexity. Also, the gratings **24** may be used in conjunction with high-dispersion fiber which may be advantageous in certain applications. The
15 description reflects reflective fiber gratings **24** but transmissive gratings **24** may also be employed. Multi-port optical circulators **22** are taught but cascaded 3-port circulators **22** may also be used. In general, the n^{th} time delay feed has $(n-1)$ gratings **24** leading to a time delay of $(n-1)\Delta t$.

20 In a 6-element beamformer **20**, as shown in **Figure 2**, the gratings **24** are fabricated from a holographically written phase mask, a technique well known to those skilled in the art. Both the phase mask and gratings **24** are commercially available. The gratings **24** are designed for a 6-element array **27** with 4.3 inch antenna element **28** spacing, however, other element **28** spacing may be utilized, capable of steering to $\pm 60^\circ$. The gratings **24** are 10.0 centimeters long in this instance, with a nominal dispersion of 24.5 ps/nm and a 40 nanometer optical beamwidth. It

5 should be noted that such a system **20** would require time-delays of up to 3 nanoseconds corresponding to a 35 centimeter long grating **24**. It is to be noted that a grating's **24** quality tends to decrease with increasing length.

Tracing a signal through the circuit shown in **Figure 2**, the incident microwave energy collected by each of the six spiral elements **28** is amplified by phase and gain matched low noise
10 microwave amplifiers (LNAs) **46**. These signals **47** are then fed to six electro-optic optical modulators **14** which amplitude modulate the optical carrier **13**. The optical carrier **13** is provided by a wavelength tunable, external cavity laser **12** which is subsequently amplified by an optical amplifier **32** (EDFA) before being split to the optical modulators **14**.

After modulation by the optical modulators **14**, the optically modulated microwave signal
15 **15** for each feed **19** is reflected off a number of fiber Bragg gratings **24** proportional to the position of the feed **19** in the array **27**. The signal is properly routed using a multi-port optical circulator **22**. All paths are equalized in amplitude (using optical attenuators **34**) and in time (to within 1 picosecond) at a center wavelength of 1550 nm corresponding to broadside (0° from any array **27** normal) beamsteering. Final time trimming is performed with the use of variable
20 microwave delay lines (trombones). Thus, the beamformer provides a time delay (dispersion) per channel that is proportional to the position in the array **27** as well as the wavelength change from 1550 nm. The dispersion is continuous and linear over the optical bandwidth of the system **20** allowing for continuous tuning of the time delay on each channel, limited only by the wavelength resolution of the tunable laser **12** and the time-delay error of the grating **24**. The time-delayed

5 optical signals **36** from each feed **19** are demodulated using photodetectors **26**, amplified using LNAs **38** and combined using a microwave combiner **42**, outputting an electrical signal **44** for application to a receiver (not shown).

The gratings **24** used in the beamformer are thoroughly characterized for their amplitude and time-delay characteristics. The measured time-delay characteristics for each feed **19** in the
10 array **27** are shown in **Figures 3a** and **3b**. A macroscopic error appears at a wavelength of ~1544 nm. Since this error appears and is identical in all gratings **24**, it does not affect the beam steering performance. The root-mean-square deviation of all gratings **24** is approximately 1.45 ps.

The architecture taught herein employs a distributed approach using identical, cascaded
15 chirped fiber gratings **24**. This allows efficient use of optical power which results in beamformer performance that is superior to that achievable using serial approaches. As an example, a six element beamformer based on this approach using a typical commercial optical modulator **14** and laser **12** and with 500 mW of available optical power will exhibit a microwave loss from input to beamformer output of ~42 dB. A similar serial system using readily available
20 components may exhibit a microwave loss of up to ~62 dB. The decreased loss translates directly into an improved dynamic range and noise figure for the system. This feature is different from that of the serial architecture described above.

The architecture is not prone to single point failures in the gratings **24** or circulators **22** due to the distributed approach. This feature differs from that of the serial architecture described

5 above.

All gratings **24** are nominally identical in terms of length and dispersion. The time delay for each feed **19** is increased linearly along the array by passing the signal through additional identical gratings **24**. This allows the system to be immune to macroscopic variations in any individual gratings **24** including a non-linear time-delay as a function of wavelength (non-linear grating **24** chirp). This feature is different from the architecture taught in Soref et al, *supra*.
10 where different gratings **24** with differing dispersions are utilized.

The use of multi-port circulators **22** greatly simplifies the architecture and reduces the optical losses leading to a higher performance system.

Any type of chirped grating **24** may be employed -- reflective, transmissive, negative
15 dispersion, positive dispersion, phase mask or directly written, etc. Both positive and negative dispersion gratings **24** may be used simultaneously to reduce system complexity. Since negative dispersion gratings **24** tend to be more lossy, high dispersion fiber may be judiciously used as a negative dispersion element in some cases. The grating **24** chirp can be non-linear to better match the sinusoid steering function of the array **27**. Also, the architecture may be scaled to any
20 number of elements **28** by employing more cascaded gratings **24** as described above.

The multi-port circulators **22** may be substituted with an equivalent configuration to achieve the same result. An example is to cascade multiple 3-port circulators which are less expensive and more readily available. A second alternative is to use add-drop multiplexers.

Two-dimensional arrays **27** may be steered by cascading beamformers.

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5 Many of the components are generic and may be changed to implement the system including, but not limited to the laser source **12**, photodetector **26**, optical modulator **14**, splitter and antenna element **28**.

 The combining for a receive beamformer may be accomplished optically using an N-to-1 optical combiner **42** along with a single photodetector **26** as opposed to the N-to-1 microwave
10 combiner **42** after the photodetectors **26** as discussed above.

 Time-delay trimming may be accomplished through any number of means including variable microwave delay lines, fiber-optic variable delay lines such as fiber stretchers or through fiber splicing techniques.

 The optical power may be used even more effectively by optimizing the power split to the
15 various feeds in the systems.

 All components to implement this system, including the tunable laser **12**, optical modulators **14**, photodetectors **26**, fiber, chirped gratings **24** and optical circulators **22** are available commercially, off-the-shelf. No components development work is required and the system may be immediately implemented as taught.

20 There is reduced cost compared to the prior art. Using phase-mask technology to fabricate the gratings **24**, only one phase mask is required, thus ensuring grating **24** reproducibility and time-delay matching of the antenna elements **28**. In contrast, the architecture of the prior art requires a different mask for each feed **19** in the array **27**. This adds cost and introduces non-reproducible errors on each grating **24** which may degrade overall performance.

5 The use of identical chirped gratings **24** eliminates any stitching errors inherent in the discrete grating **24** approaches.

 Continuous tuning of the beamsteering angles is possible with this system. Since the gratings **24** are fabricated from a holographically written phase mask, the period variation within the grating **24** is continuous. Consequently, the beamsteering angle resolution is only limited by
10 the tuning resolution of the laser **12** and the grating **24** time-delay errors. This is in contrast to the architecture of the prior art where the angle resolution is limited by the number of discrete gratings **24** used.

 There is minimal signal latency. The delay achievable with a single type grating **24** used in the device taught herein (24.5 ps/nm) dispersion) which has a latency less than a nanosecond is
15 roughly equivalent to 650 meters of high dispersion fiber which has a latency of $\sim 3.2 \mu\text{s}$. The short latency allows fast control (in the nanosecond regime) of the antenna array **27**.

 The device taught here has a greatly enhanced temperature stability. Along with the reduced latency, the overall shorter lengths of fiber necessary for the architecture reduce the system temperature stability by a factor of ~ 1000 when compared to an equivalent high-
20 dispersion fiber approach used in the prior art.

 Although the invention has been described in relation to an exemplary embodiment thereof, it will be understood by those skilled in the art that still other variations and modifications can be affected in the preferred embodiment without detracting from the scope and spirit of the invention as described in the claims.

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ABSTRACT OF THE INVENTION

10 This is a technique using a fiber-optic, wideband array antenna beamformer having cascaded, chirped fiber gratings in a distributed architecture based upon the use of cascaded, fiber-optic, chirped Bragg gratings in a distributed architecture for use in wideband, time-steered array antennas. A wavelength tunable laser serves as a carrier for a microwave signal which is modulated upon it. The signal is corporately distributed to each feed of the array. Each feed then traverses a multi-port optical circulator and is reflected off a number of identical, chirped fiber
15 gratings proportional to their position within the array. The signal is then demodulated and fed to the appropriate antenna element. All gratings are identical with the same length and dispersion (ps/nm). Time-steering is accomplished by tuning the laser wavelength such that the effective reflection point in an individual grating is changed due to the chirped nature of the grating.

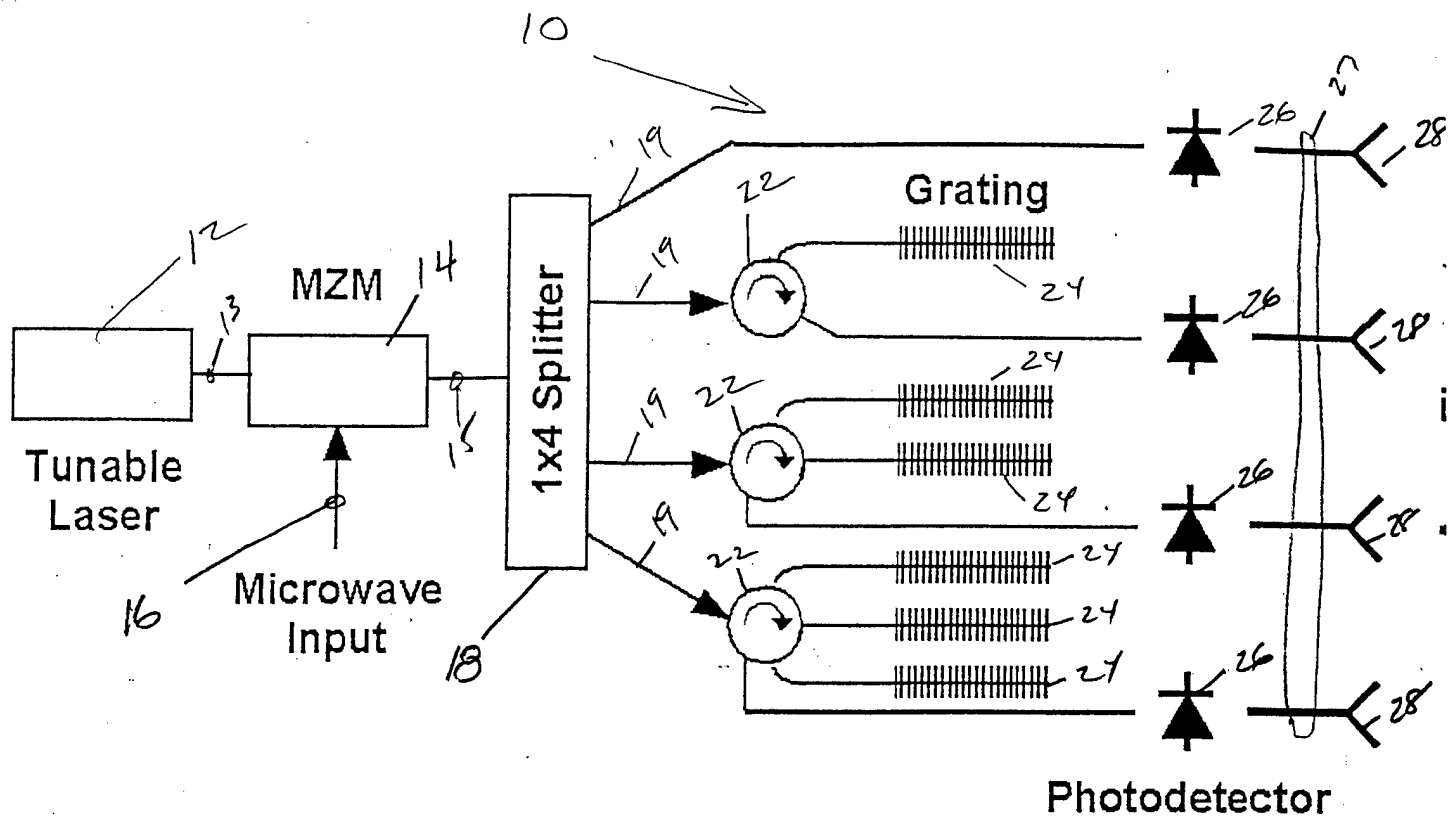


FIGURE 1

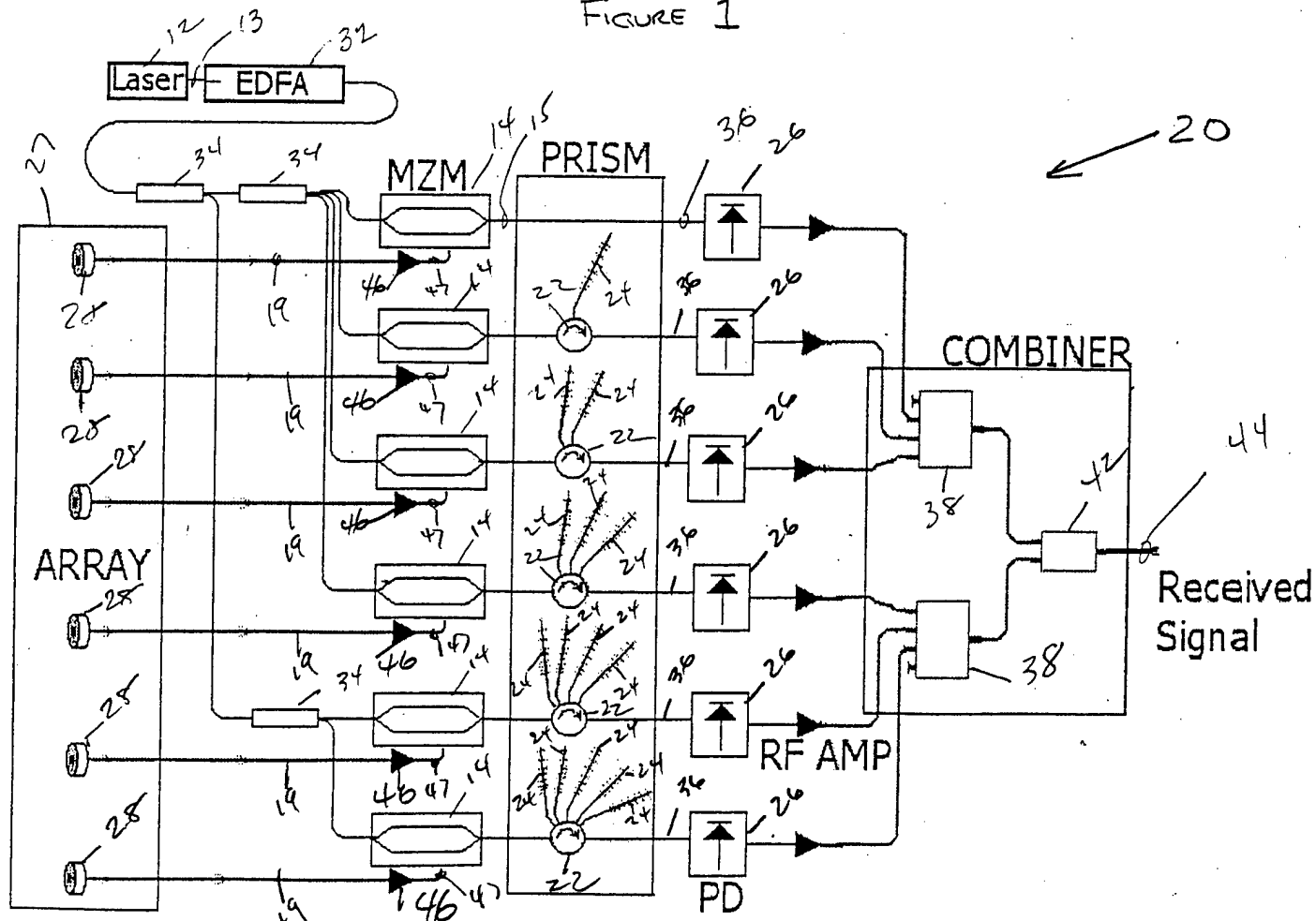


Figure 2

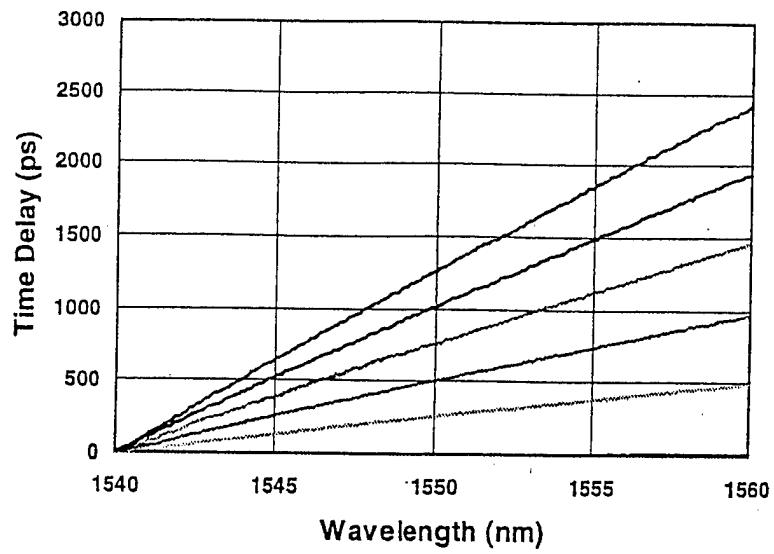


Figure 3a

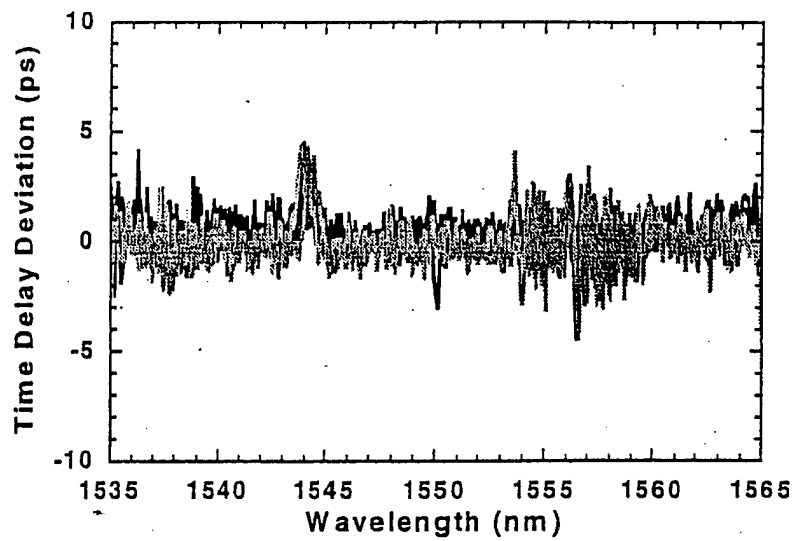


Figure 3b